



Investor Profile
(MCP2 Form 305)

A. Client Information
(Please print or type)

1. Name Last name First name

Name of Joint Participant (if applicable)

Last name First name

Address

City Country Postal Code

2. Date of birth: Day Month Year

Date of birth (joint participant): Day Month Year

B. Investment Objectives/Risk

Note: The information requested on this Investor Profile is to determine your investment objectives and risk tolerance and does not make or imply any guarantee as to the attainment of your investment goals and objectives.

1. On the following table, please check the box next to each objective you wish to achieve with your managed portfolio. Indicate the percentage of your investment earmarked for each goal and how many years until you expect to begin withdrawing money for that goal.

Table with 3 columns: Objectives, Percent of portfolio, Anticipated number of years until you begin withdrawals. Rows include: To provide current income, To invest for future retirement, Estate Planning, Capital Growth, Other, and a total of 100%.

2. Length of time your Account will be dedicated to the purpose stated in statement #1 above:

- a. Fours years b. Five to ten years c. Over ten years

3. Characterize your investment objectives:

- a. Maximum capital appreciation, even though the risk level may be high and current income may be low or nonexistent.
b. Long-term capital growth with moderate risk and low current income.
c. Total return from a balance of capital appreciation and current income.
d. Emphasis on preservation of capital with current income and some appreciation potential.



Investor Profile/ Page 2

- 4. Preferred Portfolio :
5. The type of investment returns preferred:
6. The average rate of return expected within 1-2 years:
7. Expected financial situation over the next five years :
8. Expected initial investment:
8a. The above amount represents
9. Special requirements or restrictions for Account: Please describe.

C. Distribution of Income and Withdrawals

All income will be automatically reinvested unless you indicate otherwise below:

Check one:

- Distribute all income earned
Distribute periodic fixed dollar amount \$
Distribute periodic fixed percentage %

Check one: Monthly Quarterly Annually

Signature

Date